Multi-CAST

Mandarin annotation notes

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1 Notes on the GRAID annotations

This document describes the implementation of the GRAID (Haig & Schnell 2014) and RefIND (Schiborr et al. 2018) annotation conventions in the Multi-CAST Mandarin corpus. It corresponds to version 2001 of the annotations, published in January 2020. Unless a more recent version of this document exists, it also applies to any later versions of the annotations.

The texts in this corpus were recorded in Modern Standard Mandarin (MSM, officially referred to as $P\check{u}t\bar{o}nghu\grave{a}$, 'common speech'), the national language of the People's Republic China. Standard Mandarin is in many ways an artificial construct; an idealized form of the language has been taught to children in schools nationwide, but actual usage remains highly influenced by regional languages. The narratives in the corpus were recorded in Xī'ān in Northwest China; two of the speakers are originally from Northeast China (Dōngběi), the third hails from Xī'ān.

Mandarin belongs to the Sinitic branch of the Sino-Tibetan language family. It is a strongly isolating language, and is often described as topic-prominent (Li & Thompson 1981; 1976).

1.1 Differential object marking

In Mandarin, the canonical word order SVO (lemmolo & Arcodia 2014: 316) may be changed by moving the object in front of the predicate and marking it with a preposition such as $b\bar{a}$ or $g\check{e}i$ (Li & Thompson 1981: 463; Liu 2007).

```
(1) jiù gĕi ná gè chǎnpóér xià le yī tiào

O_he MP give DEM CL midwife scare ASP one jump

## 0.h:s other adp ln ln np.h:obl v:pred rv rv rv

'He had already scared the midwife.' [mc_mandarin_jgz_0105]
```

In GRAID, preverbal "objects" of this kind are glossed as $\langle : ob1 \rangle$ 'oblique arguments' instead of $\langle : p \rangle$ 'direct objects', since, from a strictly formal perspective, they are marked with an adposition and are thus not canonically (un)marked objects. While we do not think this pattern is differential object marking in the narrow sense, that is how it is commonly labelled in the literature (see e.g. lemmolo & Arcodia 2014), and so we call so here for pragmatic reasons.

1.2 Serial verb constructions

Serial verb constructions are formally very similar to (and often indistinguishable from) topic chains in which multiple predicates occur as a string of verbs, in in which co-referential argument(s) are covert. While there are various language-specific means of differentiating serial verb constructions from multiple predicates, often involving the scope of negation or TAM markers over the whole predicate instead of one single verb, in practice most occurrences of serial verb constructions in the Mandarin corpus are formally ambiguous and thus indistinguishable from topic chains with zero arguments:

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```
(2)
        zhé gè fūfù
                       liă rén
                                       jiù
                                             qù
                                                     guóqīng sì
       DEM CL couple two person
                                       MP
                                                     Guoging temple
                                             go
            ln np.h:a ln np.h:appos other v:pred ln
                                                             np:p
                  bài
                          fó
        0_they
                  pray
                          Buddha
    ## svc_0.h:a v:pred np:p
```

'The couple went to Guoqing temple to pray to the Buddha.'

[mc_mandarin_jgz_0065]

```
(3) zǒu jìn le zhè ge
0_she walk 0_she go_in ASP DEM CL
## 0.h:s v:pred ## svc_0.h:a v:pred rv ln ln

yíngqīn =de huājiào
bridal_procession =MOD wedding_sedan
ln =ln np:p
```

'[For her] to walk in the wedding sedan for the procession (i.e. to escort the bride to the bridegroom's home for the wedding).' [mc_mandarin_lzh_0100]

In (3), $z \delta u$ and j in could be interpreted as being a single predicate denoting 'to walk in', but they could also be interpreted as two separate predicates in a topic chain denoting the process of 'to walk' first, and 'to go in' somewhere second. Simply on formal grounds, the second interpretation would be more correct, since there is no formal marking that tells us that the two verbs should be analyzed as serial verbs and one single predicate.

In these cases, the constructions are thus glossed as multiple predicates with covert arguments and the specifier $\langle svc_- \rangle$ is prefixed to the zero gloss $\langle \theta \rangle$. This enables GRAID to capture as much information as possible, while still giving researchers the possibility to exclude these contentious zeros and thereby analyze the constructions as serial verb constructions.

Constructions of the kind in (2) and (3) are analyzed as a serial verb constructions only in cases where (A) the string of verbs clearly denotes a single event or action, or (B) analyzing the verbs as multiple predicates in a topic chain would change their meaning in a way that would be contextually incorrect. In these cases, the main verb is glossed $\langle v:pred \rangle$ and the other verb(s) are glossed $\langle 1v_svc \rangle$ or $\langle rv_svc \rangle$ (i.e. as subconstituents of the main verb complex), as in the following example:

```
(4) jiù bă tā dài guòlái le

0 ADV ADP 3SG bring come_over ASP

## 0.h:s other adp pro.h:obl v:pred rv_svc other

'He/they brought him over.' [mc_mandarin_jgz_0224]
```

Here, we know from context that neither of the participants is "coming over", as the subject of the clause is already in the right place, and the object of the clause is a newborn baby who cannot be the subject of $gu \partial l \acute{a}i$. We hence treat this construction is a serial verb construction and $gu \partial l \acute{a}i$ changes its semantics to a simple directional meaning. In the three texts in the Mandarin corpus, there are a total of 71 instances of $\langle 1v_svc \rangle$ and $\langle rv_svc \rangle$, and 60 instances of $\langle svc_0 \rangle$ among all 589 zero arguments.

1.3 Flexible word classes

Mandarin exhibits relatively flexible word classes. Sun (2006: 206) notes that "[n]early all Chinese prepositions can be used as full-fledged verbs." With regard to the corpus, this poses a problem for prepositions that also act as verbs and are often used in serial verb constructions. In these cases, the question is if they are to be annotated as verbs or as prepositions; and, if they are analyzed as verbs, if they are serial verb constructions or two separate predicates. This also affects the annotation of the argument after the verb, since it would be a direct object $\langle :p \rangle$ if analysed as a verb, but an oblique argument $\langle :ob1 \rangle$ if analyzed as a preposition. An example can be seen in (5):

```
(5) yúnyóu dào zánmén zhèér

O_he travel reach 1PL.INCL here

## 0.h:s v:pred adp ln_pro.1:poss pro:g

'He has travelled to us.' [mc_mandarin_jgz_0226]
```

Here, $d\grave{a}o$ could also be analysed as a full verb (receiving the gloss $\langle v: pred \rangle$), and the clause would then be analyzed as two separate clauses, adding a zero subject in the second clause. The word $zh\grave{e}\acute{e}r$, here annotated as a goal of motion ($\langle :g \rangle$), would then be analysed as an object ($\langle :p \rangle$). For the annotation of this corpus, we have chosen to analyze $d\grave{a}o$ in this context as a preposition, since that is the primary use of the word and closest to the actual formal representation. For comparison, in (6) $d\grave{a}o$ is used independently as a full verb:

```
(6) dào le dāngpū

O_they reach ASP pawn_shop

## 0.h:s v:pred rv np:p

'[They] came to the pawn shop.' [mc_mandarin_jgz_0427]
```

1.4 Topic constructions

Mandarin is often referred to as a topic-prominent language, in which topic and comment (rather than subject and predicate) could be considered to form the fundamental structure of the clause (see e.g. Li & Thompson 1981: 15, 85). Topics may be separated from the rest of the clause by what Li & Thompson (1981: 86) call pause particles, such as ne in (7). Note that in this example, the topic is repeated as a subject in pronominal form.

```
(7)
        ér
               liángshānbó
                                 ne
                                        tā.
                                                    zìjĭ
                                                           уě
                                                                  juéde
        but
               Liangshanbo
                                 MP
                                        3sg
                                                    REFL also
                                                                  think
     ## other pn_np.h:dt_s_ds other pro.h:s_ds other other v:pred
     'And Liangshanbo, he himself thought, ...'
                                                                   [mc_mandarin_lzh_0040]
```

Where subjects are separated from the rest of the clause via pause particle and the subject is not repeated a zero gloss $\langle dt_0 \rangle$ is added:

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```
(8)
        zhé gè dàojì
                                 bā
                                                  píngshí zài sìyuàn
                                                                                 niàn
        DEM CL Daoji
                                MP
                                        0_he
                                                  usually in
                                                               temple_yard in
                                                                                 read
              ln pn_np.h:dt_a other dt_0.h:a other
     ## ln
                                                          adp np:1
                                                                            adp v:pred
     niàn jīng
     read scriptures
          np:p
     'This Daoji, [he] usually read the scriptures in the temple yard.'
```

[mc_mandarin_jgz_0197]

In (8), $d\grave{a}oj\grave{i}$ is separated from the rest of the clause with the pause particle $b\bar{a}$, and is thus analysed as topic. Since the referent is not repeated overtly as a subject, as in (7), a zero gloss is added.

No zero is added when the subject is a lexical noun phrase without pause marker (9) even though the subject may still be repeated in the pronominal form as in (10), since there is no formal topic marking on the subject, except for its leftmost placement in the clause.

```
yuánwài tā
 (9)
         dànshì zhù
                                                 γŏu
                                                         уí
                                                             gè nů
                                                                          ér
         but
                               landlord 3sG
                                                 have
                                                         one CL daughter
      ## other pn_np.h:dt_a rn_np
                                        pro.h:a v:pred ln
                                                             ln np.h:p
      'But Landlord Zhu, he had a daughter.'
                                                                  [mc_mandarin_lzh_0010]
(10)
         ránhòu liángshānbó jiù
                                    juéding le
                 Liangshanbo ADV
                                    decide ASP
      ## other
                 pn_np.h:s other v:pred rv
      'Then Liangshanbo decided.'
                                                                  [mc_mandarin_lzh_0072]
```

When the object is preverbal and in the leftmost position of the clause, it is analyzed as the topic of the clause. In this case, a zero gloss is added (see (12) further below), as the object may be repeated in its usual position when it is the topic of the clause, as in (11), and it would come after the predicate according to canonical word order.

```
(11)
         zhé gè jìdiān
                                                 bù
                                                                            le
                                   jiù
                                          xiān
                                                        guǎn
                                                                   tā
         DEM CL Jidian
                             0_you MP
                                          first
                                                 NEG
                                                        care_about 3sg
                                                                            ASP
              ln np.h:dt_p 0.2:a other other v:pred
                                                                   pro.h:p other
     'This Jidian, do not care about him for now.'
                                                                  [mc_cypgreek_jgz_0398]
```

Here, $jidi\bar{a}n$ is in the leftmost position of the clause and then realized again after the predicate as a pronoun. It is thus the topic of the clause, while the pronoun is the object. In the next example, the topic is not repeated as the object and zero is added in the gloss:

```
(12) nǐ de láilì wǒ zhīdào
2sG MOD origin 1sG know 0_it
## ln_pro.2:poss ln np:dt_p pro.1:a v:pred dt_0:p

'Your origin, I know [it].' [mc_mandarin_jgz_0137]
```

Since the cutoff point for these gradual distinctions is somewhat arbitrary in the corpus, and one might argue that the argument of the verb is in fact overt in the clause, the gloss is extended via a specifier to $\langle dt_0 \rangle$, thus enabling researchers to exclude these instances of zero from analysis or change them in later versions.

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Appendices

A List of corpus-specific GRAID symbols

The following is a list of the non-standard GRAID symbols used in the annotation of the Multi-CAST Mandarin corpus. Please refer to the *GRAID manual* (Haig & Schnell 2014: 54–55) for an inventory of basic GRAID symbols.

Form symbols and specifiers

⟨f0⟩	structurally suppressed argument slot of a predicate
⟨dt_0⟩	zero subject of a topic construction marked by a pause particle
⟨svc_0⟩	omitted argument of a serial verb construction
<pre>\(pn_np\)</pre>	proper name
<pre>⟨intrg_other⟩</pre>	interrogative pronoun

Function symbols and specifiers

<:s_ds>	subject of a verb of speech, intransitive
<:a_ds>	subject of a verb of speech, transitive
⟨:obl_dom⟩	prepositional object with differential object marking

Subconstituent symbols

```
⟨_adj⟩
                           attributive adjective; attaches to \langle 1n \rangle
                           classifier; attaches to \langle ln \rangle and \langle rn \rangle
<_cl>
                           demonstrative determiner; attaches to (ln)
⟨_dem⟩
                           indefinite determiner; attaches to \langle ln \rangle
\langle \_deti \rangle
\langle num \rangle
                           attributive numeral; attaches to \langle 1v \rangle and \langle rv \rangle
<_asp>
                           aspect marker; attaches to \langle 1v \rangle and \langle rv \rangle
                           negator; attaches to \langle 1v \rangle and \langle rv \rangle
<_neg>
                           secondary verb of a serial verb construction; attaches to \langle 1 v \rangle and \langle r v \rangle
<_svc>
```

B List of abbreviated morphological glosses

1	first person	MOD	modifier
2	second person	MP	modal particle
3	third person	NEG	negator
ADP	adposition	PASS	passive
ADV	adverb	PL	plural
ASP	aspect marker <i>le</i>	REFL	reflexive
CL	classifier	SG	singular
COP	copula		
DEM	demonstrative	NC	not classified
INCL	inclusive		



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